NEC 2 3 2003

## TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

8

Total Number of Pages in This Submission:

Application Number	10/016,506
Filing Date	December 10, 2001
Confirmation Number	9080
Inventor(s)	PAWLIKOWSKI et al.
Group Art Unit	3626
Examiner	Morgan, R.
Attorney Docket No.	00-22

ENCLOSURES (check all that apply)							
Fee Transmittal Form	m .	Assignment Papers		Issue fee Transmittal Form PTOL- 85(b) + (c) and cover sheet			
Fee Attached	\$	Cover Sheet		After Allowance Communication to Group			
Check No.:		Drawing Change Authorization Request and Amended Figure(s	s) 🗌	Appeal Communication to Board of Appeals and Interferences			
Amendment / Resp	oonse	Request for Return of PTO-1449 Forms	9 🔲	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to the Commissioner		Proprietary Information			
Affidavits / De	eclaration(s)	To Convert a Provisional Application		Status Request Letter			
Extension of Time Re	equest	Power of Attorney, Revocation Change of Address		Small Entity Statement			
Information Disclosu	re Statement	Terminal Disclaimer(s)		Request for Refund			
Form PTO-14	449	Certified Copy of Priority Document(s)		Response to Missing Parts / Incomplete Application			
Cited Referen		Request for Corrected Filing Receipt		Response to File Corrected Application Papers			
Drawing(s): Number		M		•			
Number of Figs	and cover sheet	Other Enclosure(s): Writ	tten Opin				
Formal Informal				E S			
Current Due Date: N	lone			£ 22 67			
· · · · · · · · · · · · · · · · · · ·	SIGNATUR	E OF APPLICANT, ATTORNEY,	OR AGEN	T (1)			
3	ael W. Haas, Reg. No.		15000	ME ()			
a: in		Murry Ridge Lane, Murrysville PA	1, 15668				
Signature //	whall W.	Haar					
Date Dece	ember 23, 2003						
<u> </u>	· ·	CERTIFICATE OF MAILING					
I hereby certify that this cor	rrespondence and all e		th the Unite	ed States Postal Service as first class			
mail in an envelope addres	sed to:	andria, VA, 22313-1450 on this da					
Typed Name Micha	ael W. Haas, Reg. No.	35,174					
Signature //	while h. A	ba	Date	December 23, 2003			



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of

Inventor

PAWLIKOWSKI et al.

Appln. No.

10/016,506

Conf. No.:

9080

Filed:

December 10, 2001

Title:

SYSTEM AND METHOD FOR UPGRADING A MEDICAL

**DEVICE** 

Group Art Unit

3626

Examiner

Morgan, R.

Docket No.

00-22

December 23, 2003

## INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Sir:

Attached is a Form PTO-1449 listing the enclosed documents.

I hereby certify that each enclosed document listed on the herewith Form PTO-1449 was cited in the attached PCT Written Opinion, dated December 3, 2003, issued in a counterpart foreign application not more than three months ago.

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on <u>December 23, 2003</u> with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michael W. Haas, Reg. No. 35,174

TAN C5 2004

PAWLIKOWSKI et al. -- Appln. No.: 10/016,506

Consideration of the foregoing and enclosures plus the return of a copy of the herewith Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. § 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

Michael W. Haas

Reg. No.: 35,174

Tel. No.: (724) 387-5026 Fax No.: (724) 387-5021

RESPIRONICS, INC. 1010 Murry Ridge Lane Murrysville, PA 15668-8525 1-800-638-8208

ib 12 5 200 1	1964)			
PTO/SB/08 Form (RESPIRONICS Version)		Application No.	10/016,506	
		Filing Date	December 10, 2001	
IN	FORMATION DISCLOSURE	Inventor PAWLIKOWSKI et al.		
STATEMENT BY APPLICANT		Confirmation No.	9080	
		Group Art Unit	3626	
Date	December 23, 2003	Examiner:	Morgan, R.	

Attorney Docket No:

00-22

of 1

Sheet

1

U.S. PATENT DOCUMENTS								
Examiner Initials	Cite	U.S. Patent Document		Name of Patentee	Publication Date	Relevant Pages,		
	No.	Number	Kind Code	Name of Fateride	MM-DD-YYYY	Columns, Lines		
	Α	5,843,138		EVERS et al.	12-01-1998			
	В	5,800,473		FAISANDIER	09-01-1998			
	С	5,456,692		SMITH, JR. et al.	10-10-1995			
	D							
	E							
	F							
	G				400	0		
	Н				1	30		
	1				78	5 (A)		
	J	-			in f	(D) (M)		
	К				in p	ر = ا		
	L				1	3 ()		
	М							
	N				bin. e.			
	0							
	Р							

	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Cite	Foreign Patent Document			Patentee or	Publication	Relevant Pages,	Т
Initials	Initiala   Nia	Office	Number	Kind Code	Applicant	Date MM-DD-YYY	Columns, Lines	
	Q							
	R							
	S		-					
	T							
	U							
	V							
	w				_			
	Х							

	 <del>-</del>	 	 
Examiner		Date	
Signature		Considered	

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609. Draw a line through the citation if not in conformance and not considered. Per MPEP § 609, include a copy of this form with the next communication to the Applicant.